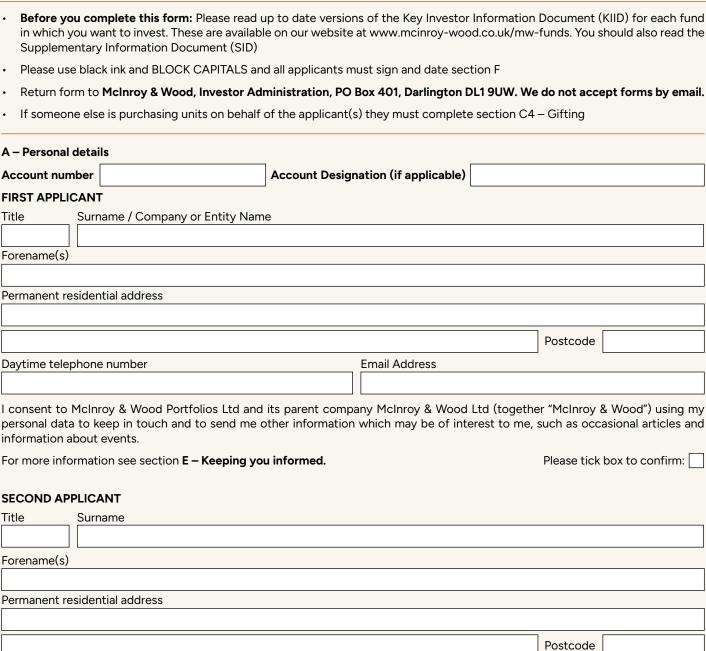
Top up Form (Non ISA)



Daytime telephone number Email Address

I consent to McInroy & Wood Portfolios Ltd and its parent company McInroy & Wood Ltd (together "McInroy & Wood") using my personal data to keep in touch and to send me other information which may be of interest to me, such as occasional articles and information about events.

For more information see section E - Keeping you informed.

Please tick box to confirm:

B - Please select the funds in which you wish to invest (lump sum and/or monthly saving)

I instruct McInroy & Wood Portfolios Ltd to purchase units in accordance with the following instructions at the next valuation point following receipt of this application form:

Fund	Class	Lump Sum Amount (minimum £500 per fund)	Monthly Saving Amount (minimum £100 per fund)
MW Balanced Fund	Acc		
	Inc		
MW Income Fund	Асс		
	Inc		
MW Smaller Companies Fund	Асс		
	Inc		
MW Emerging Markets Fund	Асс		
	Inc		
	Total		

If you have received advice from a financial advisor relating to this investment, please tick this box and ensure that your advisor completes section H.

C – Bank details and payment methods

Payment is required prior to dealing and can be made by electronic transfer or submitting a cheque with the completed application form. For UK anti-money laundering purposes, we require your bank account details. Unless someone else is purchasing the units for you, this must be the account from which payment for the units is being made, whether by electronic transfer, direct debit or cheque.

We may verify your bank account details electronically before making any settlement or distribution payments to it. We can only make payments to you.

If you wish the proceeds from any future sale of units and any income distributions to be paid to the account shown below instead of the current details we hold on file for you, please tick this box:

Ac	count Name				
Ba	nk/Building Society Na	me			
Ac	ldress				
					Postcode
	ort Code	Account Number			
		payment methods and complete t	ne reiev		
	1. Electronic			3.	Cheque
	2. Direct debit (regu	lar savings only)		4.	Gift
1.		payment to us prior to dealing. Ple			make a collection from your account and you must instruct actronic payments with your name as the payment reference
	Account Name: Account Number: Sort Code:	McInroy & Wood Portfolios Ltd 44024819 60-00-01	Client	Money	y Account

- 2. Direct debit mandate: The mandate (page 6) must be completed if you would like to make monthly savings payments Direct debits are collected on the 1st of the month.
- 3. Cheque: Please make the cheque payable to "McInroy & Wood Portfolios Ltd". Unless someone else is purchasing the units for you, the cheque must be drawn on your account detailed above.
- 4. Gifting: If someone else is purchasing units for you, in your name as a gift, (by electronic transfer, cheque and/or direct debit), they must complete their details on the next page.

GIFTER'S PERSONAL DETAILS

Address																	
									_						Postcode		
Date of Birth	D	D	Μ	Μ	Y	Y	Y	Y									

For UK anti-money laundering legislation, the gifter must provide their bank account details. This must be the account from which payment for the units is being made. We may use an electronic data source to verify their identity. For more information see section **D** – **Using your personal information**.

GIFTER'S BANK DETAILS

Account Name
Bank/Building Society Name
Branch
Sort Code Account Number
As Gifter, I consent to McInroy & Wood using my personal information to keep in touch and to send me other information which may

As Gifter, I consent to McInroy & Wood using my personal information to keep in touch and to send me other information which may be of interest to me, such as your occasional articles and information about your events.

For more in	nformatio	n see sectior	n E – Keep	oing you i	informed	•

Please tick box to confirm:

Signed	Date	Э						
	D	D	Μ	M	γ	(Υ	Y
				-				

D – Using your personal information

The personal information which you provide within this application form will be stored and used to help administer your investment in the fund(s). This may include the transfer of your personal information to our business partners and service providers, who assist in the administration of the funds. Their processing facility may be located outside the European Economic Area.

The processing of your personal information will be carried out in accordance with McInroy & Wood's Privacy Policy, which you can access at the foot of our homepage on our website (www.mcinroy-wood.co.uk). Please read our Privacy Policy as it sets out your rights with respect to any personal information we collect from or about you and explains in more detail how we use that information to administer your investment.

E – Keeping you informed

We would like to use your personal information to enable us to keep in touch and to send you other information which we think may be of interest to you. Such information could include our occasional articles and information about events we hold. Personal data may be shared with our holding company, McInroy & Wood Ltd. Under data protection legislation we require your explicit consent to use your personal information for these purposes. If you would like us to keep you informed in this way, please tick the box next to your personal details.

Please note that if you consent to receive the articles and such other information, you may withdraw your consent at any time by emailing **MWPortfolios@mcinroy-wood.co.uk** or telephoning the Unit Trust Team on **01620 825867**. For the avoidance of doubt, once you have ticked the box, this consent will apply to all investments held with us.

F – Declaration and signature of Applicants (to be signed by all Applicants)

- 1. I have read a current copy of the Key Investor Information Document(s) of the fund(s) in which I wish to invest and the Supplementary Information Document and confirm that I am familiar with the features of the funds as described in the Key Investor Information Documents
- 2. I am over 18 years of age and acknowledge that I am the beneficial owner of the units
- 3. I acknowledge that by completing and returning this form to McInroy & Wood Portfolios Ltd, I am entering into a binding contract
- 4. I acknowledge that it is my decision that this fund or these funds are suitable for me. I confirm that I have received no advice from McInroy & Wood or any of its associates or representatives regarding the merits or suitability of this investment. Where I am a non-UK resident, I confirm that this application to invest has not been solicited by McInroy & Wood
- 5. I understand that an electronic data source may be used in order to verify my identity and residence for the purpose of complying with UK anti-money laundering legislation and further proof of identity may be requested
- 6. I understand that McInroy & Wood Portfolios Ltd can only purchase units for me after my cash has been received. I acknowledge that it is my responsibility to ensure payment is carried out on time. Further, I acknowledge that upon notification of a bounced cheque, I shall be required to make payment electronically
- 7. I am not a US person, nor am I applying for units on behalf of a US person, nor am I applying for units to further offer, sell or transfer such units directly or indirectly to a US person, being a national, citizen or resident of the United States of America
- 8. Unless I have supplied further details to McInroy & Wood, I am not a politically exposed person (PEP) or a family member or a known close associate of a PEP.
- 9. I declare that the information provided in this form is, to the best of my/our knowledge and belief, accurate and complete, and I will inform you promptly of any changes to information provided.

(1) Signature	Date
	D D M M Y Y Y Y
(2) Signature	Date
	DDMMYYYY
(3) Signature	Date
	DDMMYYYYY
(4) Signature	Date
	D D M M Y Y Y Y

G – Checklist

Before returning your application form, please ensure that:

all relevant sections have been completed correctly and signed.

your electronic payment has been instructed (using your name as a reference) or you have enclosed a cheque made payable to "McInroy & Wood Portfolios Ltd".

you have provided your bank details in section C.

for regular monthly contributions only, you have completed and signed the Direct debit mandate (page 6)

you have read section **E** - Keeping you informed and **D** - Using your personal information and confirmed or otherwise your consent as requested.

you have signed section F - Declaration and signature of Applicants.

When you are ready, please send the completed form and cheque (if applicable) to McInroy & Wood, Investor Administration, PO Box 401, Darlington DL1 9UW.

H – To be completed by financial advisors only			Tick one box				
I/We confirm that the applicants are entitled to cancellation rights under the FCA Conduct of Business rules							
I/We confirm that the applicants are not entitled to cancellation	rights under the FCA Conduct of Bu	siness rules					
I/We hereby indemnify McInroy & Wood Portfolios Ltd for any losses suffered should it be discovered that the applicant was entitled to cancellation rights and no cancellation notice was sent							
To be completed by the advisor if applicable							
Advisor's name							
Agency Code (if known)							
Advisor's Address							
		Postcode					
FCA Registration No.:]				
Signature	Date D D M M Y Y Y Y]					



Direct debit mandate: The following mandate must be completed if you would like to make monthly savings payments. Direct debits are collected on the 1st of the month.

McInroy Wood

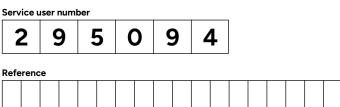


Please complete this direct debit instruction and send it to: b McInroy & Wood Portfolios Ltd Investor Administration PO Box 401 Darlington DL1 9UW a Name(s) of account holder(s) Ref Bank/building society account number Gua Branch sort code Branch sort code

Name and full postal address of your bank or building society

To: The Manager	Bank/building society
Address	
	Postcode

Instruction to your bank or building society to pay by Direct Debit



Instruction to your bank or building society

Please pay McInroy & Wood Portfolios Ltd Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this Instruction may remain with McInroy & Wood Portfolios Ltd and, if so, details will be passed electronically to my bank/building society.

Signature(s)		
Date		

Banks and building societies may not accept Direct Debit Instructions for some types of account

A copy of this guarantee is available on our website in the Supplementary Information Document





- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit McInroy & Wood Portfolios Ltd will notify you 5 working days in advance
 of your account being debited or as otherwise agreed. If you request McInroy & Wood Portfolios Ltd to collect a payment, confirmation of the amount
 and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit by McInroy & Wood Portfolios Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
- If you receive a refund you are not entitled to, you must pay it back when McInroy & Wood Portfolios Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify
 us.